

The Covering Spectrum of Riemannian Manifolds

Christina Sormani

Lehman College and
CUNY Graduate Center

Joint Work with
Guofang Wei (UCSB)

The **Covering Spectrum**

$$CovSpec(M)$$

measures the size of holes in a
Riemannian Manifold or Length Space.

On a **compact** manifold K

$$CovSpec(K) \subset (1/2)Length(K)$$

where $Length(K)$ is the lengths
of smoothly closed geodesics and

$CovSpec(K)$ is determined by the
Marked Length Spectrum of K by
an iterative process. [S-Wei JDG04]

Related Compact Results:

Croke, Otal, Fathi: M $sect < 0$
Marked Length Spectrum (MLS)
determines the manifold

Gornet: \exists Laplace isospectral
pairs of nilmanifolds with the same MLS

S-Wei: MLS determines CovSpec

Sunada, S-Wei: Komatsu pairs
have the same Laplace and CovSpec.

Sunada, De Smit-Gornet-Sutton:
Sunada pairs with same Laplace but
different Covering Spectra

**Conway-Sloan, De Smit-Gornet-
Sutton:** Flat tori T^4 with the same
Laplace Spec and different CovSpec.

In this talk manifolds are
complete and noncompact.

I will define and discuss:

- * The Covering Spectrum of M
- * The Shift Spectrum of M
- * The Marked Shift Spectrum
- * The R Cut-off Spectrum of M
- * The Cut-off Spectrum of M
- * The Rescaled Spectrum of M
- * Applications

Defn: $L(g) = \inf_{x \in M} d_{\tilde{M}}(g\tilde{x}, \tilde{x})$.

On a compact manifold, $L(g)$ is achieved by a closed geodesic.

Defn: The δ cover of M is

$$\tilde{M}^\delta = \tilde{M} / \pi_1(M, \delta)$$

where $\pi_1(M, \delta) = \langle g : L(g) < 2\delta \rangle$.

Example 1: A $2\pi \times 4\pi$ flat torus T

$\delta \in (0, \pi]$ implies $\tilde{T}^\delta = \tilde{T}$.

$\delta \in (\pi, 2\pi]$ implies $\tilde{T}^\delta = S^1 \times R$

$\delta \in (2\pi, \infty)$ implies $\tilde{T}^\delta = T$.

Defn: $\delta \in \text{CovSpec}(M)$ iff

$$\forall \delta' > \delta \quad \tilde{M}^{\delta'} \neq \tilde{M}^\delta$$

Example 1: $\text{CovSpec}(T) = \{\pi, 2\pi\}$

Example 2: $M = (-\infty, \infty) \times_f S^1$

where $f(r) = e^{-r}$ has a cusp.

$$L(g) = \inf_{x \in M} d_{\tilde{M}}(g\tilde{x}, \tilde{x}) = 0.$$

$$\pi_1(M, \delta) = \langle g : L(g) < 2\delta \rangle = \pi_1(M).$$

Thus all the δ covers of M are trivial:

$$\tilde{M}^\delta = \tilde{M}/\pi_1(M, \delta) = M$$

$$\text{CovSpec}(M) = \emptyset$$

Example 3: $M = (-\infty, \infty) \times_f S^1$

where $f(r) = e^{-r} + 1$.

For g generating $\pi_1(M)$:

$$L(g) = \inf_{x \in M} d_{\tilde{M}}(g\tilde{x}, \tilde{x}) = 2\pi.$$

So $\tilde{M}^\delta = M$ when $\delta \geq \pi$

$\tilde{M}^\delta = \tilde{M}$ when $\delta < \pi$

$$\text{CovSpec}(M) = \{\pi\}$$

$CovSpec(M)$ not in $(1/2)Length(M)$
because holes need not have geodesics.

Extend $L(M)$?

Defn: The Shift Spectrum:

$$\{L(g) : g \in \pi_1(M)\}$$

where $L(g) = \inf_{x \in M} d_{\tilde{M}}(g\tilde{x}, \tilde{x})$.

On a compact manifold:

$$Shift(K) \subset Length(K).$$

Otherwise: may not be a closed geodesic.

Example 2 with cusp:

$$Shift(M) = \{0\}.$$

Example 3 asymptotic to a cylinder:

$$Shift(M) = \{2\pi\}$$

Is $CovSpec(M) \subset (1/2)Shift(M)$?

Is $CovSpec(M) \subset (1/2)Shift(M)$? No!

Example 4: M an infinite solid handlebody with handles of decreasing size and minimal geodesics around them with lengths, L_i , decreasing to $L > 0$.

Then \tilde{M}^δ opens all the handles with length $L_i \geq 2\delta$. So

$$\begin{aligned} CovSpec(M) &= \\ &= \{\delta : \forall \delta' > \delta \tilde{M}^{\delta'} \neq \tilde{M}^\delta\} \\ &= \{L_1/2, L_2/2, L_3/2, \dots\} \cup \{L/2\} \end{aligned}$$

Thm (S-Wei):

$$CovSpec(M) \subset Cl_{lower}((1/2)Shift(M))$$

where $Cl_{lower}(A)$ includes all the limits of decreasing sequences in A .

$$CovSpec(M) \subset Cl_{lower}((1/2)Shift(M))$$

Example 5: A solid handlebody with L_i running through the rationals has $Shift(M)$ equal to the rationals and $CovSpec(M) = (0, \infty)$.

Defn: The Marked Shift Spectrum

$$L : \pi_1(M) \rightarrow [0, \infty)$$

where $L(g) = \inf_{x \in M} d_{\tilde{M}}(g\tilde{x}, \tilde{x})$.

On a compact manifold, this is the well known Marked Length Spectrum.

Thm: The Marked Shift Spectrum determines the Covering Spectrum of a complete manifold (or length space).

The proof is not constructive.

Summarizing: K compact, M complete:

$$\text{CovSpec}(K) \subset (1/2)\text{Length}(K)$$

The Marked Length Spectrum of K determines $\text{CovSpec}(K)$ constructively.

$$\text{CovSpec}(M) \subset \text{Cl}_{\text{lower}}((1/2)\text{Shift}(M))$$

The Marked Shift Spectrum of M determines $\text{CovSpec}(M)$.

But the covering spectrum no longer detects geodesics. Nor does it have the nice convergence properties.

The Cut-off Covering Spectra will...

Recall Defn: The δ cover of M is

$$\tilde{M}^\delta = \tilde{M}/\pi_1(M, \delta)$$

where $\pi_1(M, \delta) = \langle g : L(g) < 2\delta \rangle$.

This is *simplified* for M with \tilde{M} .

In general \tilde{M}^δ is the *Spanier cover* defined using the covering of M by $B_q(\delta)$ so that curves $\alpha\beta\alpha^{-1}$ where

the loop $\beta \subset B_q(\delta)$
lift as closed curves to \tilde{M}^δ .

Lemma (S-Wei): When \tilde{M} exists, then $g = [\alpha\beta\alpha^{-1}]$ where $\beta \subset B_q(\delta)$ are generated by g with $L(g) < 2\delta$.

When \tilde{K} does not exist we still have
 $CovSpec(K) \subset L(K)$.

Towards the cut-off spectra:

Defn: The R cut-off δ cover of M , $\tilde{M}_{cut}^{\delta,R}$, is the Spanier cover of M defined so that curves $\alpha\beta\alpha^{-1}$ where $\beta \subset B_q(\delta)$ or $\beta \subset M \setminus \bar{B}_p(\delta)$ lift as closed curves.

Example 6: If M is an infinite solid handlebody then $\tilde{M}_{cut}^{\delta,R}$ is the cover which opens all handles except those with $L_i \geq 2\delta$ and those lying outside $\bar{B}_p(R)$.

Example 7: M a cylinder, then all the $\tilde{M}_{cut}^{\delta,R}$ are just M because all loops are freely homotopic to a loop lying outside $\bar{B}_p(R)$.

Recall Defn:

The R cut-off delta cover of M , $\tilde{M}_{cut}^{\delta,R}$, is the Spanier cover of M defined so that curves $\alpha\beta\alpha^{-1}$ where $\beta \subset B_q(\delta)$ or $\beta \subset M \setminus \bar{B}_p(\delta)$ lift as closed curves.

Defn:

The R cut-off covering spectrum of M , $CovSpec_{cut}^R(M) =$

$$= \{ \delta : \forall \delta' > \delta \tilde{M}_{cut}^{\delta',R} \neq \tilde{M}_{cut}^{\delta,R} \}$$

Recall Example 6:

M an infinite handlebody with k handles of length L_i lying inside $\bar{B}_p(R)$ has

$$CovSpec_{cut}^R(M) = \{L_1/2, L_2/2, \dots, L_k/2\}$$

Recall Example 7:

M is a cylinder. All the $\tilde{M}_{cut}^{\delta,R} = M$ because all loops are freely homotopic to a loop lying outside $\bar{B}_p(R)$. So

$$CovSpec_{cut}^R(M) = \emptyset.$$

Defn: The *cut-off delta cover* of M ,

$$\tilde{M}_{cut}^\delta = \lim_{R \rightarrow \infty} \tilde{M}_{cut}^{\delta, R}.$$

Thm (S-Wei): It exists and is unique.

Defn: The *cut-off covering spectrum*

$$\begin{aligned} CovSpec_{cut}(M) &= \\ &= \{\delta : \forall \delta' > \delta \tilde{M}^{\delta'} \neq \tilde{M}^\delta\} \end{aligned}$$

Recall Example 6:

M an infinite solid handlebody with handles of length L_i , \tilde{M}_{cut}^δ unravels all handles with $L_i \geq 2\delta$, so $\tilde{M}_{cut}^\delta = \tilde{M}^\delta$ and $CovSpec_{cut}(M) = \{L_1/2, L_2/2, \dots\}$.

Recall Example 7:

M is a cylinder. All the $\tilde{M}^{\delta, R} = M$. So $\tilde{M}_{cut}^\delta = M$ and $CovSpec_{cut}(M) = \emptyset$.

Thm: If M^n has the loops to infinity property then $CovSpec_{cut}(M) = \emptyset$.

Thm (S-Wei): For any complete M

$$\text{CovSpec}_{\text{cut}}^R(M) \subset \text{CovSpec}_{\text{cut}}(M)$$

Thm (S-Wei): For any complete M

$$\text{CovSpec}_{\text{cut}}(M) =$$

$$= \text{Cl}_{\text{lower}}\left(\bigcup_{R>0} \text{CovSpec}_{\text{cut}}^R(M)\right)$$

Thm (S-Wei): Locally compact M

$$\text{CovSpec}_{\text{cut}}^R(M) \subset \text{Length}(M).$$

$$\text{CovSpec}_{\text{cut}}(M) = \text{Cl}_{\text{lower}}(\text{Length}(M))$$

The cut-off covering spectrum does not detect holes that:

** disappear into cusps [Ex 2] or*

** are asymptotic to a cylinders [Ex 3].*

It detects geodesics so it is a natural object of study for spectral theorists.

Soul Thm (Cheeger-Gromoll):

If M has $sect \geq 0$ then M has a compact totally geodesic submanifold, S , and M is a normal bundle over S .

Thm (Sharafutdinov): There is a distance nonincreasing deformation retraction from M to the soul S .

Cor (S-Wei): $CovSpec(M) \subset L(M)$ and is determined by the MLS.

Thm (S): If N has $Ricci \geq 0$ then N either has the *loops to infinity property* or it is a flat normal bundle over a compact totally geodesic soul, S^k .

Cor (S-Wei): $CovSpec_{cut}(N^n) = \emptyset$ or it is a flat normal bundle...

Summarizing our paper:

K compact, M locally compact:

$$\text{CovSpec}(K) \subset (1/2)\text{Length}(K)$$

$$\text{CovSpec}_{\text{cut}}^R(M) \subset (1/2)\text{Length}(M)$$

$$\text{CovSpec}_{\text{cut}}(M) \subset Cl_{\text{lower}}((1/2)\text{Length}(M))$$

Gromov-Hausdorff Convergence:

If K_i simply connected and $K_i \rightarrow K$
then $\text{CovSpec}(K) = \emptyset$.

Note M_i a sequence of stretching spheres
converging to M a cylinder
then $\text{CovSpec}(M) \neq \emptyset$.

If M_i simply connected and $M_i \rightarrow M$
then $\text{CovSpec}_{\text{cut}}(M) = \emptyset$.

The Rescaled Spectra:

Recall Defn: The *covering spectrum* was defined using lengths of $g \in \pi_1(M)$:

$$L(g) = \inf_{x \in M} d_{\tilde{M}}(g\tilde{x}, \tilde{x})$$

and groups $\pi_1(\delta) = \langle g : L(g) < 2\delta \rangle$.

So $CovSpec(M) = \{\delta : \pi_1(\delta') \neq \pi_1(\delta) \forall \delta' > \delta\}$

Defn: The *rescaled covering spectrum* is defined the same way using the *rescaled length*

$$L_{rs}(g) = \inf_{x \in M} \frac{d_{\tilde{M}}(g\tilde{x}, \tilde{x})}{d(x, p)}$$

$CovSpec_{rs}(M)$ is scale invariant and always ≤ 1 .

Defn: The *infinite rescaled covering spectrum* is defined using the *infinite rescaled length*

$$L_{rs}^\infty(g) = \lim_{R \rightarrow \infty} \inf_{x \in M \setminus B_p(R)} \frac{d_{\tilde{M}}(g\tilde{x}, \tilde{x})}{d(x, p)}$$

$CovSpec_{rs}^\infty(M) \in (0, 1]$ is scale and basepoint invariant. $1 \in CovSpec_{rs}^\infty(M)$ if M has a handle.

Other elements detect linearly opening holes.

Preprints soon to appear (S-Wei):

The Cut-off Covering Spectrum

Various Covering Spectra of Complete Spaces

Already published (S-Wei):

The covering spectrum of a compact length space

JDG 67 2004 (erratum JDG 74 2006, Ex 10.3)

All available on my webpage:

<http://comet.lehman.cuny.edu/sormani>

google: Christina Sormani